

May. 9. 2005 10:55AM

pepper hamilton

No. 7304 P. 2

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or **Fax** (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

21269

7590

04/28/2005

PEPPER HAMILTON LLP
ONE MELLON CENTER, 50TH FLOOR
500 GRANT STREET
PITTSBURGH, PA 15219



Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

Kathleen Pujol

(Depositor's name)

(Signature)

May 9, 2005

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/965,967	09/28/2001	Yigong Shi	PU-0031	5189

TITLE OF INVENTION: COMPOSITIONS AND METHOD FOR REGULATING APOPTOSIS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$1000	07/28/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
SNEDDEN, SHERIDAN	1653	514-016000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47, Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Raymond A. Miller

Pepper Hamilton LLP

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

The Trustees of Princeton University

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Princeton, NJ

05/10/2005 AWONDAF2 00000033 09965967

01 FC:2501
02 FC:1504700.00 OP
300.00 OPPlease check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

☒ Issue Fee☒ Publication Fee (No small entity discount permitted)☐ Advance Order - # of Copies _____

4b. Payment of Fee(s):

☐ A check in the amount of the fee(s) is enclosed.☒ Payment by credit card. Form PTO-2038 is attached.☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number _____ (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Raymond A. Miller

Date

May 9, 2005

Typed or printed name

Registration No.

42,891

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

May. 9. 2005 10:55AM pepper hamilton

No. 7304 P. 1

Pepper Hamilton LLP

Attorneys at Law

50th Floor
500 Grant Street
Pittsburgh, PA 15219-2502
412.454.5000
Fax 412.281.0717
www.pepperlaw.com



FAX INFORMATION SHEET

Date: May 9, 2005
ID Number: 61297
Identifier: 112911.01701

<u>Recipient's Name</u>	<u>Company</u>	<u>General Number</u>	<u>Fax Number</u>
USPTO			703 746 - 4000

Sender: Raymond A. Miller
Sender's Direct Line: 412.454.5017
Sender's Email Address: millerra@pepperlaw.com

Total Pages Including Cover: 4

Comments: ATTACHED ARE AN ISSUE FEE TRANSMITTAL AND RELATED DOCUMENTATION FOR SERIAL NO. 09/965,967

An original or a copy has ☐ or has not ☒ been sent to you by mail ☐ or by overnight service ☐ or by email ☐.

◆ ◆ If total pages are not received, or an error occurred during this transmission, please call the sender at the direct line listed above. ◆ ◆

◆ ◆ CONFIDENTIALITY NOTE ◆ ◆

The documents accompanying this facsimile transmission contain information from the law firm of Pepper Hamilton LLP which is confidential and/or legally privileged. The information is intended only for the use of the individual or entity named on this transmission sheet. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or the taking of any action in reliance on the contents of this faxed information is strictly prohibited, and that the documents should be returned to this Firm immediately. In this regard, if you have received this facsimile in error, please notify us by telephone immediately so that we can arrange for the return of the original documents to us at no cost to you.

Operator's Use Only

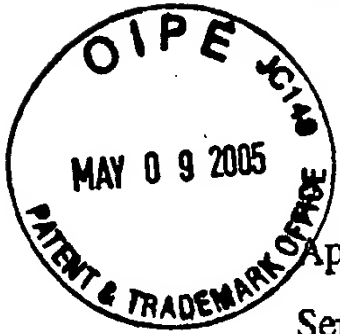
Start Time: : am ☐ pm ☐ End Time: : am ☐ pm ☐

Operator:

Coversheet Page 1 of 1

PAGE 1/4 * RCVD AT 5/9/2005 10:58:55 AM [Eastern Daylight Time] * SVR:USPTO-EFXXRF-2/2 * DNIS:7464000 * CSID:412 454 5000 * DURATION (mm-ss):01-44

Attorney Ref.: 112911.01701



CERTIFICATE OF FACSIMILE UNDER 37 C.F.R. §1.6 & §1.8

Applicant:	Shi	Examiner:	Snedden
Serial No.:	09/965,967	Group Art Unit:	1653
Filed:	September 28, 2001	Docket No.:	112911.01701
Title:	Compositions and Methods for Regulating Apoptosis		

I hereby certify that this "AMENDMENT AND RESPONSE TO OFFICE ACTION" and is being sent via facsimile to fax number 703-872-9306 under 37 C.F.R. §1.6 & §1.8 on May 9, 2005.

(Typed/printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

Documents Faxed:

1. Issue Fee Transmittal
2. Credit Card Authorization Form
3. Certificate of Facsimile